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12-08-00

PTO/SB/16 (12-97)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No. 94-348D	
	First Inventor or Application Identifier Kin Li	
	Title	Surface Modification Using An Atmospheric Pressure Glow Discharge Plasma Source
	Express Mail Label No. EM222240034US	

12/07/00
09/732425
PTO
J0603

APPLICATION ELEMENTS	ADDRESS TO
<i>See MPEP chapter 600 concerning utility patent application contents.</i>	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages 13] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed Sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Copyb. <input type="checkbox"/> Paper Copy (Identical to computer copy)c. <input type="checkbox"/> Statement Verify identity of above copies
<input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] Oath or Declaration [Total Sheets 2] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) <input type="checkbox"/> for continuation/divisional with Box 17 completed <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	ACCOMPANYING APPLICATION PARTS <ul style="list-style-type: none">7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney9. <input type="checkbox"/> English Translation Document (if applicable)10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations11. <input checked="" type="checkbox"/> Preliminary Amendment12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)13. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) Status still proper and desired (PTO/SB/09-12)14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)15. <input type="checkbox"/> Other: _____

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

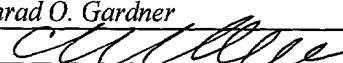
16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/206,777

Prior application information Examiner S. Ahmed Group/Art Unit 1746

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying application continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach barcode label here):		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Conrad O. Gardner				
Address	7755 E. Marginal Way S., P.O. Box 3707, M/C 13-08				
City	Seattle	State	Washington	Zip Code	98124-2207
Country	USA	Telephone	206-544-1017	Fax	206-655-5076

Name (Print/Type)	Conrad O. Gardner	Registration No (Attorney/Agent)	22,462
Signature		Date	12/06/00

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 2000.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$710.00)

Complete if Known

Application Number	
Filing Date	Concurrently Herewith
First Named Inventor	Kin Li
Examiner Name	S. Ahmed
Group / Art Unit	1746
Attorney Docket No	94-348D

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 02-2960

☒ Charge Any additional Fee Required Under 37 C.F.R. §§ 1.1 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. §§ 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment enclosed:
☐ Check ☐ Money order ☐ Other

Fee Calculation

1. BASIC FILING FEE

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
101	710	201	355	Utility filing fee	\$
106	320	206	160	Design filing fee	\$
107	490	207	245	Plant filing fee	\$
108	710	208	355	Reissue filing fee	\$
114	150	214	75	Provisional filing fee	\$
SUBTOTAL (1)					\$710

2. EXTRA CLAIM FEES

	Extra Claims	Fee From Below	Fee Paid
Total Claims 1 -	20** = 0	X \$18 00	\$0
Independent 1 -	3** = 0	X \$80 00	\$0
Claims			
Multiple Dependent		\$270.00	\$0

** or number previously paid, if greater; For Reissues, see below

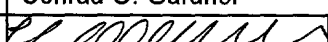
Large Entity			Small Entity		
Fee	Fee	Fee	Fee	Fee	Description
Code	(\$)	Code	(\$)		
103	22	203	11		Claims in excess of 20
102	82	202	411		Independent claims in excess of 3
104	270	204	135		Multiple dependent claim, if not paid
109	82	209	41	**	Reissue independent claims over original patent
110	22	210	11	**	Reissue claims in excess of 20 and Over original patent
SUBTOTAL (2)					(\$100)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee	\$
				Or cover sheet	
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For filing a request for reexamination	\$
112	920*	112	920*	Requesting publication of SIR Prior to Examiner action	\$
115	110	215	55	Extension for reply within first month	\$
116	390	216	195	Extension for reply within second month	\$
117	890	217	445	Extension for reply within third month	\$
118	1,390	218	695	Extension for reply within fourth month	\$
128	1,890	228	945	Extension for reply within fifth month	\$
119	310	219	155	Notice of Appeal	\$
120	310	220	155	Filing a brief in support of an appeal	\$
121	270	221	135	Request for oral hearing	\$
179	710	279	355	Request for continued examination (RCE)	\$
138	1,510	138	1,510	Petition to institute a public use proceeding.	\$
140	110	240	55	Petition to revive – unavoidable	\$
141	1,240	241	620	Petition to revive – unintentional	\$
142	1,240	242	620	Utility issue fee (or reissue)	\$
143	440	243	220	Design issue fee	\$
144	600	244	300	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	240	126	240	Submission of Information Disclosure Stmt	\$
581	40	581	40	Recording each patent assignment per Property (times number of properties)	\$
146	710	246	355	Filing a submission after final rejection (37 CFR 1 129(a))	\$
149	710	249	355	For each additional invention to be examined (37 CFR 1 129(a))	\$
Other fee (specify)_____					\$
Other fee (specify)_____					
*Reduced by Basic Filing Fee				SUBTOTAL (3)	\$

SUBMITTED BY

Typed or Printed Name	Conrad O. Gardner	Reg. Number	22,462
Signature		Deposit Account User ID	02-2960
Date	December 6, 2000		

Complete (if applicable)

Burden Hour Statement This form is estimated to take 0 2 hours to complete Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231